, - 22 - 0<u>4</u> PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. 09/056,289 **Application Number** TRANSMITTAL RECEIVE April 7, 1998 Filing Date **FORM** John R. Shutt First Named Inventor JUN **2 4** 2004 (to be used for all correspondence after initial filing) Art Unit 1713 **Examiner Name** F. Teskin 22 Attorney Docket Number 98B017/3 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Petition to Convert a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request **Terminal Disclaimer** identify below): Statement in Support of Petition to Revive under CFR 1.137(a); Copy **Express Abandonment Request** Request for Refund of Declaration in support of Petition to w/draw aban.; copy Not. of Allo.w/Issue Fee; Copy Information Disclosure Statement CD, Number of CD(s) ___ CPA transmittals; PAIR status. Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Express Mail Lable: EV 3855 25089 US Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Paige Schmidt Registration No.38,556 Customer No. 23455 Individual name Signature

> CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Typed or printed name Signature

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for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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Application Number	09/056,289	HELEWEL
Filing Date	April 7, 1998	
First Named Inventor	John R. Schutt	JUN 2 4 2004
Examiner Name	F. Teskin	
Art Unit	1713	OFFICE OF PETITIONS
Attorney Docket No.	98B017/3	

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Name		Paige	Schmidt		Registr	ation N		38,556 Telephon 2	281-834-1441

Signature Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED **UNAVOIDABLY UNDER 37 CFR 1.137(a)**

Docket Number (Optional) 98B017/3

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First Named Ir	ventor	John R. Shutt		Art Unit:	1713	
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Application Nu	ımber:	09/056,289		Examiner:	F. TeskinECEIVEL)
Filed:		April 7, 1998			JUN 2 4 2004	
Title: Polyn	nerization	Process			OFFICE OF PETITIO	N
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This collection of information is required by 37 CFR 1.137(a) The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BEFORE THE EXAMINER:

Shutt et al.

F. Teskin

Serial No.: 09/056,289

Group Art Unit No.: 1713

Filed: April 7, 1998

Attorney Docket No.: 98B017/3

For: Polymerization Process

Baytown, Texas

JUN 2 4 2004

OFFICE OF PETITIONS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENTS IN SUPPORT OF PETITION TO REVIVE UNDER 37 C.F.R. § 1.137(a)

This is submitted in reply to the dismissal of Applicant's Petition to Withdraw Holding of Abandonment mailed June 1, 2004. Applicant submits that it did not engage in any delay with regard to replying to the Notice of Allowance dated May 9, 2000. In reply to that Notice, Applicant filed a Continued Prosecution Application on August 9, 2000. Upon receiving the Notice of Abandonment, Applicant confirmed that it did timely file the CPA and that it was received by the USPTO. Applicant delayed in reacting to the Notice of Abandonment only because the Notice of Abandonment was obviously in error, and it was believed that it would therefore be withdrawn and an Office Action issued on the CPA. Nevertheless, a Petition to Withdraw Holding of Abandonment was filed. The Declaration in Support of that Petition is attached as well as the documents referred to therein.

Respectfully submitted,

Date

Paige Schmidt

Attorney for Applicants

Registration No. 38,556

ExxonMobil Chemical Company Law Technology

Ce/21/04

P.O. Box 2149

Baytown, Texas 77522-2149

Phone: 281-834-1441 Fax: 281-834-2495

ERTIFICATE OF No policant(s): Shutt, et al	MAILING BY "EXPRESS I	MAIL" (37 CFR 1.10)	Docket No. 98B017/3
Serial No.	Filing Date	Examiner	Group Art Unit
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Washington, D.C. 20231

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO.

09/056,289

F G BOX 2149 BAYTOWN TX -77522

EXXON CHEMICAL COMPANY

04/07/98 - SHUTT - -

EXAMINER: 17/2

JW22/0509

ART UNIT PAPER NUMBER

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DATE MAILED:

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Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

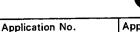
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Notice of	Allowability

X Examiner's Amendment/Comment

Framiner's Comment Regarding Requirement for Deposit of Biological Material

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JA



09/056,289

Applicant(s)

Shutt, et al.

Examiner

Fred Teskin

Group Art Unit 1713

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course. |X| This communication is responsive to <u>amendment filed February 22, 2000.</u> |X| The allowed claim(s) is/are 1-110 The drawings filed on _____ are acceptable. JUN 2 4 2004 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). ☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been OFFICE OF PETITIONS received. received in Application No. (Series Code/Serial Number) received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a). ☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED. ☐ Applicant MUST submit NEW FORMAL DRAWINGS ☐ because the originally filed drawings were declared by applicant to be informal. including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. including changes required by the proposed drawing correction filed on ______, which has been approved by the examiner. including changes required by the attached Examiner's Amendment/Comment. Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson. ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included. Attachment(s) □ Notice of References Cited, PTO-892 **ACKNOWLEDGED** ☑ Information Disclosure Statement(s), PTO-1449, Paper No(s). 6 ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948 MAY 1 2 2000 ☐ Notice of Informal Patent Application, PTO-152 ☐ Interview Summary, PTO-413 Patent Admin. Group

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JUN 2 4 2004
OFFICE OF PETITIONS

Application/Control No. 09/056,289

Art Unit 1713

Examiner's Amendment/Comment

An Examiner's Amendment to the record appears below. Should the changes and/or additions be unacceptable to applicants, an amendment may be filed as provided by 37 C.F.R. § 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the Issue Fee.

The directions for amending claim 2 in the Response of February 22, 2000 have been construed as authorizing substitution of --metallocene-- for "metallocene-type" in line 2 of claim 1, where the cited terminology actually appears, and the amendment entered as so construed. See MPEP 714.23.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner F. M. Teskin whose telephone number is (703) 308-2456.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu, can be reached on (703) 308-2450. The appropriate fax phone number for the organization where this application or proceeding is assigned is (703) 305-3599.

FMTeskin/05-08-00

FRED TESKIN
PATENT EXAMINER
ART UNIT 1551713

FORM - 1449

SHEET

ATTY. DOCKET NO. 98B017/2

SERIAL NO.

UNASSIGNED

INFORMATION DISCLOSURE STATEMENT

APPLICANT

John R. Shutt, et al

FILING DATE

04/07/98

GROUP

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER		DOCUMENT NUMBER	- DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KI	AA	5,627,242 A	06/06/97	Jacobsen, et al	526		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
		NOMBER	DATE	COUNTRY	CLASS	JOBELASS	YES	NO	
A	AB	WO 93 25591 A	12/23/93	PCT		L			
FT	AC	EP 0 575 875 A	12/29/93	Europe					
F	AD	EP 0 624 604 A	11/17/94	Europe		TC			
F	AE	EP 0 643 078 A	03/15/95	Europe. 4. 1		110			

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

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EXAMINER

FRED TESKIN PATENT EXAMINER

not considered. Include copy of this form with next comment to applicant

DATE CONSIDERED

Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and

*EXAMINER:

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10. The prior application's correspondence is provided below:	ce address will carry over to this CPA UN	ILESS a new correspo	ondence address

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior amplication is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

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Frank E. Reid

Typed or printed name

37,918

Registration Number (if applicable)

Inventor(s)

Assignee of complete interest

Attorney or agent of record



JUN 2 4 2004

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PATENT OFFICE MAIL ROOM - Please place the mail room date stamp on this card to indicate receipt by the Patent Office of the paper identified below and return same to us.

Application Serial No. 09/056, 289 File	d <u>4/07/98</u>
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Application Serial No. 09/05	6,289 Filed 4/07/98
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Date Mailed Ang. 9, 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BEFORE THE EXAMINER:

Shutt et al.

F. Teskin

Serial No.: 09/056,289

Group Art Unit No.: 1713

Filed: April 7, 1998

Attorney Docket No.: 98B017/3

For: Polymerization Process

Baytown, Texas

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION IN SUPPORT OF PETITION TO WITHDRAW HOLDING OF ABANDONMENT

I, Catherine L. Bell, declare as follows:

- 1. A Notice of Allowance dated May 9, 2000 was received by Applicant on May 12, 2000 (copy attached);
- 2. The issue fee was due on August 9, 2000. The issue fee was not paid.
- 3. A Continued Prosecution Application (CPA) was timely filed on August 9, 2000 (copy attached).
- 4. The CPA was received by the USPTO (as Evidence by the Express Mail receipt and stamped postcard a copy of which is attached hereto).
- 5. The (CPA) was entered in to the U.S. Patent Office docket system with a date of August 9, 2000 (See attached PAIR printout).

- 6. A Notice of Abandonment for USSN 09/056,789 was mailed to Applicant (copy attached).
- 7. The Notice of Abandonment was received by Applicant on October 10, 2000.
- 8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information on belief are believed to be true. I further declare that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 19 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Called BCCO
Catherine L. Bell Mault 24 207 &
Counsel
Law Technology
ExxonMobil Chemical Company 3-24-04
· incare.
Signed and witnessed this day of March 2004.

Witness

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	dated May 9, 2000, Continued Prosecution Application (CDA) Request 1,2000 Way of Between Parein
	Family No. 98507/3 Postcard & Express Mai Mailly
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ATTORNEY DOCKET NO. FIRST NAMED APPLICANT FILING DATE APPLICATION NUMBER 09/056 - 289 983017 EXAMINER P U 902 2043 PAPER NUMBER ART UNIT MAYTORN IN DATE MAILED: NOTICE OF ABANDONMENT nis application is abandoned in view of:] Applicant's failure to timely file a proper response to the Office letter mailed on A response (with a Certificate of Mailing or Transmission of_ _, which is after the expiration of the period for response (including a total extension of time of____month(s)) which expired on ___ A proposed response was received on ______, but it does not constitute a proper response to the final rejection. (A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.62 (FWC). No response has been received. Applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance. ☐ The issue fee (with a Certificate of Mailing or Transmission of ______) was received on _____ The submitted issue tee of \$_____ is insufficient. The issue fee required by 37 CFR 1.18 is \$______ The issue fee has not been received. Applicant's failure to timely file new formal drawings as required in the Notice of Allowability. Proposed new formal drawings (with a Certificate of Mailing or Transmission of _ received on___ OCT 1 0 2000 ☐ The proposed new formal drawings filed ______ are not acceptable. emolt epo ☐ No proposed new formal drawings have been received. The express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on ... The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 1 37 CFR 1.34(a) upon the filing of a continuing application. ___ and because the period The decision by the Board of Patent Appeals and Interferences rendered on_ for seeking court review of the decision has expired and there are no allowed claims. ABANDONMENT CONTACT PERSON'S: The reason(s) below: TOM HAWKINS



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PATENT APPLICATION INFORMATION RETRIEVAL





Other Links



Searc	h results for applic	ation number:	09/056,289
Application Number:		Customer Number:	23455
Filing or 371(c) Date:	04-07-1998	Status:	Abandoned Failu Pay Issue Fee
Application Type:	Utility	Status Date:	10-02-2000
Examiner Name:	TESKIN, FRED M	Location:	FILE REPOSITORY (FRANCONIA)
Group Art Unit:		Location Date:	07-09-2001
Confirmation Number:	f	Earliest Publication No:	
Attorney Docket Number:	98B017/2	Earliest Publication Date:	_
Class/ Sub-Class:	526/071	Patent Number:	-
	JOHN R. SHUTT, HOUSTON, TX (US)	Issue Date of Patent:	
Title Of Invention:	POLYMERIZATION PRO	CESS	

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Foreign Priority	Continuity Data

		File Contents History	
Number Date		e Contents Description	
22	06-29-2000	Workflow - File Sent to Contractor	
21	10-02-2000	Mail Notice of Abandonment from Publications	
20	10-02-2000	Abandonment for Failure to Pay Issue Fee	
19	08-09-2000	Workflow - Request for CPA - Begin	
18	05-09-2000	Mail Notice of Allowance	
17	05-09-2000	Notice of Allowance Data Verification Completed	
16	05-08-2000	Notice of Allowability	
15	03-01-2000	Date Forwarded to Examiner	
14	02-22-2000	Response after Non-Final Action	
13	02-22-2000	Request for Extension of Time - Granted	
12	09-13-1999	Information Disclosure Statement (IDS) Filed	
11	10-07-1999	Mail Non-Final Rejection	
10	10-01-1999	Non-Final Rejection	
9	06-16-1999	Case Docketed to Examiner in GAU	
8	09-25-1998	Information Disclosure Statement (IDS) Filed	
7	11-12-1998	Case Docketed to Examiner in GAU	
6	06-02-1998	Application Dispatched from OIPE	
5	05-29-1998	Application Is Now Complete	
4	05-08-1998	Notice MailedApplication IncompleteFiling Date Assigned	
3	05-04-1998	IFW Scan & PACR Auto Security Review	



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